

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of : August 31, 2001
Brian K. Martin : IBM Corporation
Filing Date: Herewith : Dept.T81/Bldg. 503-3
Serial No. Not Assigned Yet : P.O. Box 12195
For: State Machine for Accessing a Stealth Firewall : Research Triangle Park,
: North Carolina 27709



INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Applicants submit herewith patents, publications or other information which they became aware of and which there may be a duty to disclose in accordance with 37 CF 1.56 and 37 C.F.R. 1.97(e)(1).

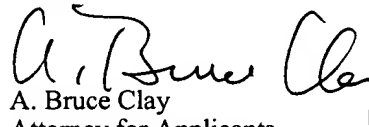
While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,


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Form PTO-1449
(Rev. 2-88)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
RSW92001151
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APPLICATION NO.

INFORMATION DISCLOSURE STATEMENT
BY APPLICANTAPPLICANT
Martin, B.

FILING DATE

GROUP

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER'S INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,802,106	09/01/98	Packer, R.	375	225	12/06/96
	5,941,988	08/24/99	Bhagwat, P., <i>et al.</i>	713	201	01/27/97
	5,958,053	09/28/99	Denker, J.	713	201	01/30/97
	5,978,849	11/02/99	Khanna, S.	709	227	06/13/97
	6,006,264	12/21/99	Colby, S., <i>et al.</i>	709	226	08/01/97
	6,163,812	12/19/00	Gopal, A., <i>et al.</i>	709	310	10/20/97

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Firewalls FAQ, www.faqs.org/faqs/firewalls-faq , (July 20, 2001)
		B. Gillespie, <i>Stealth Firewalls</i> , Sans Institute, www.sans.org/infosecFAQ/firewall/stealth.htm (July 23, 2001)

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if a citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

09/944996
08/31/01